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CELL SEARCHING IN A  
CDMA COMMUNICATIONS SYSTEM

RELATED APPLICATION

This Application for Patent claims the benefit of  
priority from, and hereby incorporates by reference the  
entire disclosure of, co-pending U.S. Provisional  
5 Application for Patent Serial No. 60/057,412, filed August  
29, 1997.

BACKGROUND OF THE INVENTION

Technical Field of the Invention

The present invention relates in general to the  
10 spread spectrum communications field and, in particular,  
to cell search activities performed by a mobile station to

obtain time synchronization with a base station and acquire the cell-specific long code and frame timing information used in a code division multiple access (CDMA) communications system.

5           Description of Related Art

10           The cellular telephone industry has made phenomenal strides in commercial operations throughout the world. Growth in major metropolitan areas has far exceeded expectations and is outstripping system capacity. If this trend continues, the effects of rapid growth will soon reach even the smallest markets. The predominant problem with respect to such continued growth is that the customer base is expanding while the amount of electromagnetic spectrum allocated to cellular service providers for use in carrying radio frequency communications remains limited. Innovative solutions are required to meet these increasing capacity needs in the limited available spectrum, as well as to maintain high quality service and avoid rising prices.

20           Currently, channel access in cellular systems is

primarily achieved using Frequency Division Multiple  
Access (FDMA) and Time Division Multiple Access (TDMA)  
methods. In FDMA systems, a physical communication  
channel comprises a single radio frequency band into which  
5 the transmission power of a signal is concentrated. In  
TDMA systems, a physical communications channel comprises  
a time slot in a periodic train of time intervals over the  
same radio frequency. Although satisfactory performance  
is being obtained from FDMA and TDMA communications  
10 systems, channel congestion due to increasing customer  
demand commonly occurs. Accordingly, alternate channel  
access methods are now being proposed, considered and  
implemented.

Spread spectrum is a communications technology which  
15 is finding commercial application as a new channel access  
method in wireless communications. Spread spectrum  
systems have been around since the days of World War II.  
Early applications were predominantly military oriented  
(relating to smart jamming, radar and satellites).

20 However, there is an increasing interest today in using

spread spectrum systems in other communications applications, including digital cellular radio, land mobile radio, and indoor/outdoor personal communication networks.

5           Spread spectrum operates quite differently from conventional TDMA and FDMA communications systems. In a direct sequence-CDMA (DS-CDMA) spread spectrum transmitter, for example, a digital symbol stream for a given dedicated or common channel at a basic symbol rate  
10 is spread to a chip rate. This spreading operation involves applying a channel unique spreading code (sometimes referred to as a signature sequence) to the symbol stream that increases its rate (bandwidth) while adding redundancy. Typically, the digital symbol stream  
15 is multiplied by the unique digital code during spreading. The intermediate signal comprising the resulting data sequences (chips) is then added to other similarly processed (i.e., spread) intermediate signals relating to other channels. A base station-unique scrambling code  
20 (often referred to as the "long code" since it is in most

cases longer than the spreading code) is then applied to the summed intermediate signals to generate an output signal for multi-channel transmission over a communications medium. The dedicated/common channel-  
5 related intermediate signals advantageously then share one transmission communications frequency, with the multiple signals appearing to be located on top of each other in both the frequency domain and the time domain. Because the applied spreading codes are channel unique, however,  
10 each intermediate signal transmitted over the shared communications frequency is similarly unique, and through the application of proper processing techniques at the receiver may be distinguished from others.

In the DS-CDMA spread spectrum mobile station  
15 receiver, the received signals are recovered by applying (i.e., multiplying, or matching) the appropriate scrambling and spreading codes to despread, or removing the coding from the desired transmitted signal and returning to the basic symbol rate. Where the spreading  
20 code is applied to other transmitted and received

intermediate signals, however, only noise is produced.  
The despreading operation thus effectively comprises a  
correlation process that compares the received signal with  
the appropriate digital code to recover the desired  
5 information from the channel.

Before any radio frequency communications or  
information transfer between a base station and a mobile  
station of the spread spectrum communications system can  
occur, the mobile station must find and synchronize itself  
10 to the timing reference of that base station. This  
process is commonly referred to as "cell searching". In a  
DS-CDMA spread spectrum communications system, for  
example, the mobile station must find downlink chip  
boundaries, symbol boundaries and frame boundaries of this  
15 timing reference clock. The most common solution  
implemented to resolve this synchronization problem has  
the base station periodically transmitting (with a  
repetition period  $T_p$ ), and the mobile station detecting  
and processing, a recognizable synchronization code  $\bar{c}_p$  of  
20 length  $N_p$  chips as shown in FIGURE 1. The synchronization

code may also be referred to as a spreading code for long  
code masked symbols. This synchronization code is sent  
with a known modulation and without any long code  
scrambling. In one type of CDMA communications system,  
5 each base station utilizes a different, known  
synchronization code taken from a set of available  
synchronization codes. In another type of CDMA  
communications system, all base stations utilize the same  
synchronization code, with differences between base  
10 stations being identified through the use of differing  
phase shifts of the synchronization code for the  
transmissions.

In the spread spectrum receiver of the mobile  
station, the received signals are demodulated and applied  
15 to a filter matched to the synchronization code(s). It  
is, of course, understood that alternate detection  
schemes, such as sliding correlation, may be used for  
synchronization code processing. The output of the  
matched filter peaks at times which correspond to the  
20 reception times of the periodically transmitted

synchronization code. Due to the effects of multi-path propagation, several peaks may be detected relating to a single synchronization code transmission. From processing these received peaks in a known manner, a timing reference  
5 with respect to the transmitting base station may be found with an ambiguity equal to the repetition period  $T_p$ . If the repetition period equals the frame length, then this timing reference can be used to synchronize mobile station and base station communications operations with respect to  
10 frame timing.

While any length of  $N_p$  in chips for the transmitted synchronization code  $\bar{c}_p$  may be selected, as a practical matter the length of  $N_p$  in chips is limited by the complexity of the matched filter implemented in the mobile  
15 station receiver. At the same time, it is desirable to limit the instantaneous peak power  $\hat{P}_p$  of the synchronization code signal/channel transmissions in order not to cause high instantaneous interference with other spread spectrum transmitted signals/channels. To obtain  
20 sufficient average power with respect to synchronization



code transmissions given a certain chip length  $N_p$ , it may become necessary in the CDMA communications system to utilize a synchronization code repetition period  $T_p$  that is shorter than a frame length  $T_f$  as illustrated in FIGURE

5 2.

Another reason for transmitting multiple synchronization codes  $\bar{c}_p$  within a single frame length  $T_f$  is to support inter-frequency downlink synchronization in the compressed mode, as known to those skilled in the art.

10 With compressed mode processing, downlink synchronization on a given carrier frequency is carried out during only part of a frame rather than across the entire frame. It is possible, then, with only one synchronization code  $\bar{c}_p$  per frame, that compressed mode processing could miss over  
15 a significant time period detecting the synchronization code completely. By transmitting multiple synchronization codes  $\bar{c}_p$  during each frame, multiple opportunities per frame are given for compressed mode processing detection, and at least one synchronization code transmission will be  
20 capable of being detected.

There is, however, a drawback with respect to reception and synchronization experienced with multiple synchronization code  $\bar{c}_p$  transmission within a single frame length  $T_f$ . Again, the received signals are demodulated and applied to a filter (or correlator) matched to the known synchronization code. The output of the matched filter peaks at times that correspond to the reception times of the periodically transmitted synchronization code. From processing these peaks, a timing reference for the transmitting base station relating to the synchronization code repetition period  $T_p$  may be found in a known manner. However, this timing reference is ambiguous with respect to the frame timing and thus does not present sufficient information to enable base/mobile station frame synchronization to the timing reference. By ambiguous it is meant that the boundary of the frame (i.e., its synchronization) cannot be identified from the detected synchronization code peaks alone.

The cell searching process can further involve obtaining the cell specific long code used on the downlink

to scramble downlink dedicated and common channel communications. The dedicated channels comprise both traffic and control channels, and the common channels also comprise traffic and control channels (which can include the broadcast control channel or BCCH). A long code group code  $\bar{c}_{lci}$  is preferably transmitted synchronously with (and further preferably orthogonal to) the synchronization codes  $\bar{c}_p$  as illustrated in FIGURE 3. This long code group code is sent with a known modulation and without any long code scrambling. Each long code group code  $\bar{c}_{lci}$  indicates the particular subset of a total set of long codes to which the cell specific long code utilized for the transmission belongs. For example, there may be one-hundred twenty-eight total long codes grouped into four subsets of thirty-two codes each. By identifying the transmitted long code group code  $\bar{c}_{lci}$ , the receiver can narrow its long code acquisition search in this example to only the thirty-two long codes contained in the subset identified by the received long code group code  $\bar{c}_{lci}$ .

Frame timing information may be found from a combined

processing of the received synchronization codes  $\bar{c}_p$  and long code group codes  $\bar{c}_{lci}$ . A mobile station first identifies synchronization code timing by applying a  $\bar{c}_p$ -matched filter to a received signal and identifying peaks.

5 From these peaks, a timing reference with respect to the slots may be found. Although ambiguous as to frame timing, the determined slot locations identify the timing for the simultaneous transmission of the long code group code  $\bar{c}_{lci}$ . Correlation is then performed at the known slot  
10 locations to obtain the long code group code  $\bar{c}_{lci}$  identification. From this identification, the number of possible cell specific long codes used for the transmission is reduced. Lastly, a correlation is performed against each of the reduced number of long codes  
15 (i.e., those long codes contained in the  $\bar{c}_{lci}$  identified subset) at each of the known slots to determine which cell specific long code is being used for the transmission, and provide a phase shift reference. Once the phase shift is found, frame timing is identified.

20 In connection with the transmission of multiple

synchronization codes  $\bar{c}_p$  within a single frame length  $T_f$ ,  
the determination of frame timing is alternatively  
assisted in the manner disclosed in U.S. Patent  
Application Serial No. 08/884,002, entitled "MOBILE STATION  
5 SYNCHRONIZATION WITHIN A SPREAD SPECTRUM COMMUNICATIONS  
SYSTEM", filed June 27, 1997, by having each of the slots  
include not only a synchronization code  $\bar{c}_p$ , as in FIGURE 2  
described above, but also a framing synchronization code  $\bar{c}_s$ ,  
transmitted with a known modulation and without long code  
10 scrambling, as illustrated in FIGURE 4. The  
synchronization code is the same in each slot and across  
the repeating frames. The framing synchronization codes,  
however, are unique for each slot in a frame, and are  
repeated in each frame.

15 To obtain frame timing information, a mobile station  
first identifies synchronization code timing by applying a  
 $\bar{c}_p$ -matched filter to a received signal and identifying  
peaks. From these peaks, a timing reference with respect  
to the slots can be found. While this timing reference is  
20 ambiguous as to frame timing, knowledge of the slot

locations indirectly points to the location of the framing  
synchronization code  $\bar{c}_s$  within each located slot. The  
mobile station then further correlates the set of known  
framing synchronization codes  $\bar{c}_s$  to the received signal at  
5 the locations of framing synchronization codes. Given  
that the position of each framing synchronization code  $\bar{c}_s$   
relative to the frame boundary is known, once a  
correlation match is found at the location, the boundary  
of the frame relative thereto (and hence, the frame  
10 timing) is then also known.

Although the foregoing methods for obtaining  
synchronization information can provide satisfactory  
results, their performance capabilities under degraded  
radio conditions leaves much to be desired. Inevitably,  
15 in all of the above-described prior art approaches, poor  
radio link conditions and higher than normal interference  
levels can cause a mobile station to make an incorrect  
decision on either the long code or frame timing, or both.  
Consequently, additional correlations have to be performed  
20 that occupy valuable processing resources, are complex to

implement, and slow down the cell searching process.  
Essentially, more signal energy could be collected by  
receiving the signal over more frame periods. However,  
this approach can take longer than the time deemed  
5 acceptable for handover situations. Therefore, there is a  
need for an effective method of obtaining both a frame  
timing indication and a long code indication during the  
cell searching process in a degraded radio environment.  
As described in detail below, the present invention  
10 provides such a method.

## SUMMARY OF THE INVENTION

Essentially, in accordance with the present invention, a method is provided for more effectively acquiring a long code and frame timing during a cell  
5 search, by using a special coding scheme. A code set of length  $M$   $Q$ -ary code words including symbols from a set of  $Q$  short codes is defined with certain properties. The primary property to be satisfied is that no cyclic shift of a code word yields a valid code word. The other  
10 properties to be satisfied are that there is a one-to-one mapping between a long code message and a valid code word, and a decoder should be able to find both the random shift (thereby implicitly finding the frame timing) and the transmitted code word (i.e., its associated long code  
15 indication message) in the presence of interference and noise, with some degree of accuracy and reasonable complexity.

An important technical advantage of the present invention is that it provides a low to moderate complexity  
20 solution for more effectively acquiring a long code and



frame timing during a cell search, which gives a coding gain that can be used to reduce the search time and/or required information bit energy to noise ratio ( $E_b/N_0$ ).

Another important technical advantage of the present invention is that it makes it possible to trade-off  
5 complexity versus performance, by varying the code complexity yet keeping the number of possible messages fixed.

Yet another important technical advantage of the present invention is that it provides more code words than  
10 conventional schemes, which reduces and/or limits the number of base station messages required.

# BRIEF DESCRIPTION OF THE DRAWINGS

A more complete understanding of the method and apparatus of the present invention may be had by reference to the following detailed description when taken in  
5 conjunction with the accompanying drawings wherein:

FIGURE 1 is a diagram illustrating a prior art synchronization channel signal transmission format in a direct sequence code division multiple access communications system;

10 FIGURE 2 is a diagram illustrating an alternate prior art synchronization channel signal transmission format in a direct sequence code division multiple access communications system;

15 FIGURE 3 is a diagram illustrating an alternate prior art synchronization channel and long code group signal transmission format in a direct sequence code division multiple access communications system;

20 FIGURE 4 is a diagram illustrating yet another alternate prior art synchronization code and framing synchronization code transmission format in a direct

sequence code division multiple access communications  
system;

FIGURE 5 is a diagram that illustrates exemplary  
transmitter and receiver operations that can be used to  
5 implement the present invention;

FIGURE 6 is a flow diagram that illustrates a generic  
decoding algorithm that can be used in a receiver decoder  
for decoding the code word described above with respect to  
FIGURE 5, in accordance with a preferred embodiment of the  
10 present invention;

FIGURE 7 is a flow diagram that illustrates a generic  
maximum likelihood decoder algorithm that can be used in a  
receiver decoder for decoding the code word described  
above with respect to FIGURE 5 in the presence of random  
15 symbol/bit interference/noise, in accordance with a second  
embodiment of the present invention;

FIGURE 8 is a diagram that shows an exemplary trellis  
section for an  $m=2$  trellis encoder, which is provided for  
illustrative purposes in order to clarify the present  
20 invention;

FIGURE 9 is a schematic diagram of an exemplary circular trellis with  $M$  equal to 8, which is provided for illustrative purposes in order to clarify the present invention;

5       FIGURE 10 is a flow diagram of an exemplary algorithm that can be used by an encoder to generate all words that satisfy Property 2 of the present invention;

10       FIGURE 11 illustrates a synchronization code that can result from implementing the encoding algorithm described with respect to FIGURE 10;

FIGURE 12 is a flow diagram that illustrates a method for decoding the exemplary synchronization code described above with respect to FIGURE 11, in accordance with the present invention;

15       FIGURE 13 shows a Matlab listing for the synchronization code search algorithm described with respect to FIGURE 12;

FIGURE 14 shows the cardinality of certain synchronization codes for small values of  $M$ ;

20       FIGURES 15A and 15B are block diagrams of exemplary

trellis encoders that can be used to implement the present invention;

FIGURE 16 is a diagram that illustrates the cell search method to be performed by a mobile station, as  
5 described in the prior art ARIB Wideband CDMA proposal;

FIGURE 17 is a table that illustrates certain characteristics of a Primary Synchronization Code and Secondary Synchronization Code;

FIGURE 18 is a table that shows information that can  
10 be provided by a Primary Synchronization Code or Secondary Synchronization Code for cell searches, in accordance with the present invention;

FIGURE 19 is an exemplary method for providing the Primary Synchronization Code and Secondary Synchronization  
15 Code shown in FIGURE 18 for cell searches, in accordance with the present invention;

FIGURE 20 is a second exemplary method for providing the Primary Synchronization Code and Secondary Synchronization Code shown in FIGURE 18 for cell searches,  
20 in accordance with the present invention;

FIGURE 21 is a table that describes two cell search algorithms (methods) that can be used to implement the present invention, and also provide a comparison of the two exemplary cell search methods of the present invention  
5 with the current ARIB Wideband CDMA cell search proposal;

FIGURE 22 is a table that illustrates system parameters that can be used in order to make a comparison of the algorithms (methods) shown in FIGURE 21; and

FIGURES 23A-D are tables that illustrate the  
10 advantages of the two cell search methods of the present invention over the proposed ARIB Wideband CDMA cell search method.

DETAILED DESCRIPTION OF THE DRAWINGS

The preferred embodiment of the present invention and its advantages are best understood by referring to FIGURES 1-23D of the drawings, like numerals being used for like and  
5 corresponding parts of the various drawings.

Essentially, in accordance with the present invention, a method is provided for more effectively acquiring a long code and frame timing during a cell search, by using a special coding scheme. A code set of length M Q-ary code  
10 words including symbols from a set of Q short codes is defined with certain properties. The primary property to be satisfied is that no cyclic shift of a code word yields a valid code word. The other properties to be satisfied are that there is a one-to-one mapping between a long code  
15 message and a valid code word, and a decoder should be able to find both the random shift (thereby implicitly finding the frame timing) and the transmitted code word (i.e., its associated long code indication message) in the presence of interference and noise, with some degree of accuracy and  
20 reasonable complexity.

More specifically, to illustrate the environment, assume that a transmitter transmits  $M$  symbols selected from a  $Q$ -ary alphabet (e.g., an alphabet comprising  $Q$  orthogonal short codes of length  $N$ ). These transmitted symbols constitute a  
5 transmitted code word, and the set of length  $M$   $Q$ -ary sequences (code words) can be referred to as the code. Also, the same code word is transmitted over and over again.

A receiver (of these transmitted code words) knows when in time a symbol starts and stops, but not when a code word  
10 starts and stops. Also, the transmitted signal is subject to fading, interference, and/or noise. As such, the receiver's purpose is to (1) extract the transmitted code word (and corresponding message) possibly without prior knowledge of its start/stop times, and (2) extract the  
15 start/stop times for the code words. FIGURE 5 is a diagram that illustrates the transmitter and receiver operations described directly above.

Referring to FIGURE 5, the transmitted symbols are denoted by  $a, b, c, \dots$ , etc. Note that in this example, due  
20 to the periodicity of the transmitted signal, the symbols



a,b,c,d are respectively equal to the symbols f,g,h,i. Also,  
note that any set of M consecutive symbols contains all  
information needed for a receiver to decode the received  
signal assuming that the receiver knows the code's frame  
5 timing. In this example, M is equal to 5. If the code's  
frame timing is unknown, then the decoding process is non-  
trivial. However, in this example, knowledge of the code's  
frame timing is assumed for simplicity, along with the use  
of a code having certain known properties. At the receiver  
10 (RX), it can be seen that any one of the set of consecutive  
symbols in the M=5 shifts can contain the information needed  
for decoding the received signal.

Notably, for simplicity sake, it can be assumed that the  
time interval between symbols is zero in the following  
15 description. Also, it can be assumed that a conventional  
decoding method is used to ensure that disturbed symbols  
corresponding to a code word are extracted with an acceptable  
degree of reliability.

A channel (as viewed from a receiver) can be described  
20 as introducing random symbol errors due to interference and

noise, which can shift the code words a random number of  
(complete) Q-ary symbols. The transmitter re-transmits the  
same message over and over again. Consequently, any M of the  
received consecutive symbols (regardless of their position)  
5 can represent the code word, up to some unknown cyclic shift.  
As such, a code set of length M Q-ary code words (with  
symbols from the set of Q short codes) are defined with the  
following properties.

Property 1: There is a one-to-one mapping between a long  
10 code message and a valid code word (there are L code words  
or messages);

Property 2: No cyclic shift (of the Q-ary symbols) of  
a code word yields a valid code word (unless the number of  
shifts is zero or a multiple of M, which is a trivial  
15 solution); and

Property 3: The decoder should be able to find both the  
random shift (thereby implicitly defining the frame timing)  
and the transmitted code word (i.e., its associated long code  
information or LCI message) in the presence of interference  
20 and noise, with some degree of accuracy at preferably a

reasonable degree of complexity. Notably, as described in detail below, the preferred embodiment of the present invention employs codes that primarily satisfy Property 2. Also as described below, it follows that these codes also  
5 satisfy Properties 1 and 3.

First, to further facilitate an understanding of the present invention, consider a (simplified) channel in which no bit/symbol errors occur, and only an unknown number of cyclic symbol shifts of the unknown repeatedly transmitted  
10 code word occur. A receiver has to decide on both the actual shift and the code word that was transmitted.

Figure 6 is a flow diagram that illustrates a generic decoding algorithm that can be used in a receiver decoder for decoding the code word described above (albeit not the most efficient decoding method), in accordance with a preferred  
15 embodiment of the present invention. At step 101, the decoder collects M consecutive symbols (the received word). Next, at step 102, the decoder determines whether the received word is a valid code word. If not, the decoder  
20 performs step 103. Otherwise, the decoder performs step 104.

As such, if the received word is not a valid code word, at step 103, the decoder shifts the received word cyclically one step (symbol), and then returns to perform step 102. Alternatively, at step 104, the decoder outputs the number of shifts it took (in step 103) to obtain the valid code word, and the message associated with the code word thus obtained. The number of shifts output at step 104 yields the code word's frame timing.

FIGURE 7 is a flow diagram that illustrates a generic maximum likelihood decoder algorithm that can be used in a receiver decoder for decoding the code word described above in the presence of random symbol/bit interference/noise (also not the most efficient approach, however), in accordance with a second embodiment of the present invention. In this exemplary embodiment, the present invention makes it possible to collect  $k \cdot M$  symbols before actually decoding the received word, which provides a better estimate of the decoded word than the first above-described method, since a multiplicity (k) of copies of all the code symbols are obtained.

Employing the exemplary maximum likelihood decoder

algorithm of this embodiment, at step 201, the decoder collects  $k \cdot M$  consecutive symbols (the received word) and combines the symbols' likelihood values. At step 202, for each of  $L$  code words and each of the  $M$  cyclic symbol shifts, the decoder computes the correlations between the received word and the relevant combinations of the  $L$  code words under their  $M$  symbol-wise shifts. The decoder stores both the code word and number of shifts needed that resulted in the best correlation. At step 203, the decoder outputs the stored code word (or corresponding message) and number of shifts that resulted in the best correlation.

In accordance with a third embodiment of the present invention, a more efficient decoding algorithm is now described, along with an example that illustrates the existence of codes which can satisfy Properties 1-3. Essentially, in accordance with this exemplary embodiment, the present decoding algorithm combines a so-called tailbiting trellis code and a synchronization code such that all of the Properties 1-3 are satisfied. As such, a code is constructed by concatenating an inner trellis code with an

outer code having synchronization properties, so that the overall code constructed satisfies Property 2. It follows that Properties 1 and 3 will also be satisfied.

Specifically, in accordance with this exemplary  
5 embodiment of the present invention, first consider (by way of example only) a binary input tailbiting inner trellis encoder that produces Q-ary symbols. These symbols can represent a complex scalar or complex vector signal. Assume that a binary input frame of length M bits is provided.  
10 Given that input, the starting state that the encoder should be in, in order to end up in the same state, can be computed as follows. For polynomial encoders of degree m, the starting state can be set to equal the last m bits in the input frame. Consequently, the encoder and decoder both  
15 start and end in the same state. However, that state is unknown to the decoder. As such, the valid code words are those that can be obtained by starting in a certain state, moving through the trellis, and ending up in the same state as the starting state.

20 FIGURE 8 is a diagram that shows an exemplary trellis

section for an  $m=2$  trellis encoder, which is provided for illustrative purposes. The four boxes arranged vertically on the right side of FIGURE 8 represent the four possible shift register states for the  $m=2$  trellis encoder, with the contents indicated inside those boxes. A complete trellis comprises  $M$  concatenated sections identical to the trellis section shown in FIGURE 8.

For a tailbiting trellis encoder, the trellis wraps around and the last state column becomes the same as the first. The labeled arrows (e.g., I/code 1) indicate that given a current state of the encoder (the state from which the arrow originates) and an input signal (I), the current output symbol is the code (1), and the next state will be the one at which the arrow is pointing. Note that the arrows shown in FIGURE 8 have distinct labels, but the invention is not intended to be so limited. The code labels shown are provided for illustrative purposes only and not intended to specify the particular mapping function used.

As illustrated by the trellis section shown in FIGURE 8, all trellis stages in the trellis code are identical, and

the same code is repeated over and over again. Consequently,  
the code word path can be viewed as a path in a circular  
trellis, as shown in FIGURE 9. As such, FIGURE 9 is a  
schematic diagram of an exemplary circular trellis with M  
5 equal to 8. Each box shown represents a state column (e.g.,  
such as one of the right/left columns shown in FIGURE 8), and  
each arrow shown represents a set of possible state  
transitions and the corresponding input/output relationship.  
As mentioned earlier, in accordance with the present  
10 invention, all trellis stages shown are identical.  
Consequently, any cyclic shift of an output sequence of  
symbols is also a valid output sequence. As such, in the  
path of the circular trellis, the start and stop states are  
the same, but the actual position in the trellis where the  
15 start/stop states occur is unknown.

The decoder employed collects M successive received  
symbols and assumes a start/stop state position in the  
trellis. All cyclic shifts of the valid paths are also valid  
paths. Consequently, the correct path (but not the  
20 start/stop position) can be decoded (assuming that the noise



level is not too high). Notably, although this tailbiting encoder algorithm does not satisfy Property 2 (above), all symbol-wise cyclic shifts of a code word are valid code words. However, using such a trellis structure readily  
5 allows the use of soft decision decoding techniques and the structured trellis diagram for more efficient decoding. An overview of known techniques that can be used for decoding tailbiting trellis codes is provided in "An Efficient Adaptive Circular Viterbi Algorithm for Decoding Generalized  
10 Tailbiting Convolutional Codes" by R. Cox and C-E. Sundberg, IEEE Transactions on Vehicular Technology, Vol. 43, No. 1 1994, and U.S. Patent No. 5,355,376 to R. Cox et al. As such, assuming that the correct path has been decoded (most often the case), a circularly-shifted version of the input  
15 M-bit frame can be obtained.

In order to constrain the above-described inner code words so that Property 2 is satisfied, an outer synchronization code of length M bits is introduced that constitutes this M-bit frame. As described below, this outer  
20 synchronization code satisfies Property 2. Consequently, by

viewing both the inner and outer codes as a single code, this resulting single code satisfies Property 2.

Once the inner code has been decoded, a shifted version of the outer code can be obtained. However, only one exact  
5 shift of this decoded word yields a valid outer code word. Consequently, the inner decoded word is shifted until a valid code word is obtained. The number of these shifts required defines the frame timing and the message corresponding to the LCI. If a valid code word fails to appear after M shifts are  
10 performed, it can be concluded that an inner decoding error has occurred, whereby the present invention thus provides a form of error detection.

The following description illustrates that such synchronization codes (that satisfy Property 2) actually  
15 exist, and for small values of M enumerate the code words in the outer code. As such, a trellis code is then defined for a number of different embodiments.

A family of exemplary synchronization codes (and their cardinality) is now described such that each code can satisfy  
20 Property 2, in accordance with the present invention. For

illustrative purposes, M is set equal to 5 for this example, but the following reasoning applies as well to any value of M. With respect to property 2, a limitation placed on the code words is that any (non-trivial) cyclic shift has to  
5 yield a distinct non-code word. As such, the "period" of a word is defined to be the number of cyclic shifts needed to return to that word. In this embodiment, the period is less than or equal to M. A "p-cycle" is defined to be the set of "p" words of period "p" that is obtained when shifting a  
10 period "p" word. A limitation placed on each code word is that it have a period M, and that the M-1 shifts are not code words. Given the above, the following algorithm shown in FIGURE 10 can be used by an encoder to generate all words that satisfy Property 2.

15 Referring to FIGURE 10, at step 301, for all  $2^M$  words of length M, the encoder computes the period of the words. At step 302, the encoder then excludes from consideration all words with periods less than M. At step 303, the encoder excludes all of the words in the M-cycles except one that can  
20 represent the cycle (e.g., the smallest one if the word is

viewed as a binary number). At step 304, the encoder assumes that the remaining words satisfy Property 2 and constitute the code of interest. An illustration of the above-described algorithm is shown in FIGURE 11. As shown, M is equal to 5.

5 The right-directioned arrows (->) indicate that a (e.g., right) cyclic shift has taken place. All  $2^5=32$  words are accounted for, and six words remain in the resulting synchronization code (right-most column). Consequently, in this example, the synchronization code of interest comprises  
10 the six code words 1,3,5,7,11,15 (decimal), and, therefore, L=6.

FIGURE 12 is a flow diagram that illustrates a method for decoding the exemplary synchronization code described above with respect to FIGURE 11, in accordance with the  
15 present invention. At step 401, a decoder collects M consecutive bits (obtained from the inner decoding). At step 402, the decoder shifts the received frame until it is as small as possible (e.g., viewed as a binary number), at the most M times. At step 403, the decoder determines whether  
20 the resulting word is a code word. If so, at step 404, the

decoder outputs the code word's corresponding message, along with the number of shifts that had been needed to obtain the code word. Otherwise, if not, it can be assumed that an inner decoding error has occurred. In that case, at step  
5 405, the decoder can output an inner decoding error message. FIGURE 13 shows a listing for the above-described synchronization code search algorithm, and FIGURE 14 shows the cardinality (i.e., indicating quantity but not order) of certain synchronization codes for small values of M.

10 In a DS-CDMA system, the M code symbols can comprise a number, Q, of so-called short codes of length N. These short codes are often orthogonal to one another, or otherwise have good cross-correlation properties. Consider a low rate, time-invariant trellis code in which the symbols on the  
15 trellis branches are vectors taken from the set of Q vectors above (or symbols as referred to herein). For example, U.S. Patent No. 5,193,094 discloses such a set of vectors.

FIGURES 15A and 15B are block diagrams of exemplary trellis encoders 10 and 20, respectively, that can be used  
20 to implement the present invention. Essentially, such a

trellis encoder is structured in the form of a length  $m$  shift register (12, 22) with an input signal,  $I$ , and a mapper (14, 24) that performs a mapping from the state of the respective shift register (12, 22) and the current input signal,  $I$ , to  
5 an output vector (e.g.,  $c_1, c_2, \dots, c_N$ ). In the trellis encoders 10, 20 shown, the length of the shift register ( $m$ ) is 3. Consequently, the shift registers 12, 22 can each take on 8 different states. The set of output vectors/symbols (e.g.,  $c_1, c_2, \dots, c_N$ ) constitute a set of orthogonal vectors  
10 for the orthogonal trellis encoder 10 (FIGURE 15A), and a set of orthogonal or antipodal vectors for the superorthogonal trellis encoder 20 (FIGURE 15B).

As such, an orthogonal trellis code is obtained if the mapping from a register state and the input signal,  $I$ , yields  
15 a vector, and if the set of vectors thus obtained form a set of orthogonal vectors. A superorthogonal code is formed if the first  $m-1$  register states define an orthogonal vector, and can be taken as the output vector unless the modulo 2 sum of the input bit and the  $m$ :th register state content is equal  
20 to 1. In this case, the output vector is bit-wise inverted

by inverter 26. With a typical mapping, such as 0/1 -> +1/-  
1, it can be seen that the outputs for a certain state are  
antipodal vectors depending on the inputs 0 and 1,  
respectively. As such, for DS-CDMA applications, such codes  
5 are suitable to use as symbols because of the inherent  
spreading effect (very low code rate), good correlation  
properties, and inherent error correcting capabilities due  
to the trellis structure.

In addition to the novel coding (decoding) method  
10 described above in accordance with the present invention, a  
novel method is also provided for including a Frame Timing  
Indication (FTI) for cell searching using the coding scheme  
of the present invention, for example, in the context of the  
ARIB proposal for a Wideband CDMA cell search scheme. As  
15 such, the acquisition-related channels transmitted in the  
downlink described in the current ARIB Wideband CDMA proposal  
facilitates a three-step acquisition procedure in the mobile  
station involved. However, since these acquisition-related  
channels do not include any information about the frame  
20 timing, the final step of the proposed ARIB procedure is

rather complex and/or time consuming. As described below, the present invention provides at least two methods that can be used to provide a FTI, for example, within the framework of the proposed ARIB Wideband CDMA scheme.

5           Specifically, FIGURE 16 is a diagram that illustrates the cell search method to be performed by a mobile station, as described in the ARIB Wideband CDMA proposal. In each slot, a Primary Synchronization Code (PSC) and Secondary Synchronization Code (SSC) are transmitted in parallel, both  
10           with known modulation but without long code scrambling. The duration of the PSC/SSC is one symbol of the 16 ksymbols/sec physical channel, or 256 chips. There are  $N_{SSC}$  valid SSCs in the system, which give  $\log_2(N_{SSC})$  bits of information to be used for a LCI. The characteristics of the PSC and SSC are  
15           summarized in the table shown in FIGURE 17. As illustrated by FIGURE 17, no FTI is provided to the mobile station, which can cause the cell search to take much longer than necessary.

FIGURE 18 is a table that shows information that can be provided by a PSC/SSC for cell searches, in accordance with  
20           the present invention. Although this information can be



provided in a number of ways, two exemplary embodiments are described below that can be used for the currently proposed cell searching schemes.

Specifically, in accordance with one embodiment of the invention (as illustrated by FIGURE 19), as in the proposed ARIB scheme, the SSC is the same in each slot in a frame, and there are  $N_{SSC}$  valid SSCs in the system, which give  $\log_2(N_{SSC})$  bits of information to be used for the LCI. The SSCs throughout the frame are further modulated by one of  $N_{MOD}$  possible valid (e.g., binary) sequences of length 16. This method provides the LCI and another  $\log_2(N_{MOD})$  bits of information for LCI usage. The resulting modulating sequences of length 16 have good auto-correlation properties.

If the value of  $N_{MOD}$  is greater than 1, the following properties also need to be satisfied: (1) good cross-correlation; and (2) no cyclic shift of any valid modulating sequence can result in another valid modulating sequence (and any cyclic shift thereof). If the modulating sequences thus obtained satisfy these properties, the FTI is known as soon as any valid modulating sequence has been detected in the

mobile station's receiver. Coherent detection of the received signal is facilitated by using the PSCs as reference symbols to obtain a channel phase reference. As such, the FTI is inherent. Consequently, all  $\log_2(N_{SSC}) + \log_2(N_{MOD})$  bits  
5 of information can be used for the LCI.

In accordance with a second embodiment of the invention (as illustrated by FIGURE 20), there is a sequence of 16 SSCs that repeats in each frame. In general, there are  $N_{SSC\_SEQ}$  such SSC sequences that can be used in the system, which  
10 produces  $\log_2(N_{SSC\_SEQ})$  bits of information that can be used for the LCI. In that case, it is advantageous if each SSC sequence is unique, and the individual SSCs have good auto- and cross-correlation properties. However, it may be assumed that the value  $N_{SSC\_SEQ}=1$  will suffice in a practice.

15 In finding a valid SSC sequence, the FTI is inherently produced, and the SSC sequence can also be modulated as illustrated by the method described directly above for the first embodiment, which produces  $\log_2(N_{MOD})$  bits of information for LCI usage. In this case, the LCI can take  
20 on 65,536 different values (more than enough), which provides

good LCI detection performance.

FIGURE 21 is a table that describes two cell search algorithms (methods) that can be used to implement the present invention. Also, the table shown in FIGURE 21 provides a comparison of the two exemplary cell search methods of the present invention with the current ARIB Wideband CDMA cell search proposal. The rows (steps) in FIGURE 21 describe the cell search stages involved. For example, in the first stage (step 1), a matched filter (MF) is used to produce the slot timing (ST). In the second stage, when correlating (CORR) with the SSC in the second stage, since the PSC provides a phase reference, the correlations can be coherently accumulated. On the other hand, the correlations can be performed only once per slot, because there is only one SSC per slot. When correlating with the long code (LC) in the third stage, the correlations have to be non-coherently accumulated. However, this correlation can be accomplished over consecutive symbols, since the long code is applied to each symbol in the frame. In that case, the correlation is performed by concatenating

the long code and the known short code of the BCCH, which is always transmitted on the downlink. If the long code can be pinpointed by the LCI, only one correlation step is necessary with the two exemplary embodiments described above. However, with the currently proposed ARIB cell search scheme, a search is still required in addition to the steps described above, in order to find the frame timing (FT).

In order to exemplify the receiver operations needed for the methods shown in FIGURE 21, the following selections can be made:  $N_{SSC}=256$  long codes grouped as  $16 \times 16$ ;  $N_{MOD}=1$ ;  $N_{SSC\_SEQ}=1$ ; and assume (for simplicity) that a coherent accumulation of 16 correlations (256 chips each) is sufficient for adequate detection. As such, in implementing the currently proposed ARIB cell searching scheme, the following correlation matrix is formed:

$$Z_1 = \begin{bmatrix} \bar{c}_0 \cdot \bar{y}_0 & \bar{c}_0 \cdot \bar{y}_1 & \cdots & \bar{c}_0 \cdot \bar{y}_{15} \\ \bar{c}_1 \cdot \bar{y}_0 & \bar{c}_1 \cdot \bar{y}_1 & \cdots & \bar{c}_1 \cdot \bar{y}_{15} \\ \cdots & \cdots & \cdots & \cdots \\ \bar{c}_{15} \cdot \bar{y}_0 & \cdots & \cdots & \bar{c}_{15} \cdot \bar{y}_{15} \end{bmatrix} \quad (1)$$

where the  $\bar{c}_i$  represent the 16 different SSCs, the  $\bar{y}_i$  represent 16 consecutively received SSCs, and the dot product denotes that correlation is performed. With 16 correlators in the mobile station's receiver, the 16 correlators need to  
5 be operated for 16 slots, in order to form the 256 correlations of  $Z_1$ . The elements of  $Z_1$  can also be multiplied by the conjugate of the corresponding PSC correlations, in order to remove the phase shift resulting from the radio channel and frequency synchronization errors.  
10 As such, this multiplication can be assumed to have already been performed in the matrix (1) above, and also throughout the remaining description. The rows of  $Z_1$  are then summed. One of these sums will have a larger magnitude than the rest, which indicates the SSC.

15 In accordance with the first embodiment (method 1 above), the matrix (1) is also formed. However, to implement method 1, the matrix (1) is further multiplied with the following matrix:

$$M_1 = \begin{bmatrix} m_0 & m_1 & \cdots & m_{15} \\ m_1 & m_2 & \cdots & m_0 \\ \cdots & \cdots & \cdots & \cdots \\ m_{15} & m_0 & \cdots & m_{14} \end{bmatrix} \quad (2)$$

where the columns contain all cyclic shifts of the modulating sequence (assumed to be real values herein for simplicity). The multiplication,  $Z_1 M_1$ , produces a 16x16 matrix, where one of the elements would have a larger magnitude than the rest.

5 The row index of this element produces the LCI, and the column index produces the frame timing (FTI).

In accordance with the second embodiment of the invention (method 2 above), instead of the matrix (1), the following matrix is formed:

$$Z_2 = \begin{bmatrix} \bar{c}_0 \cdot \bar{y}_0 & \bar{c}_1 \cdot \bar{y}_1 & \cdots & \bar{c}_{15} \cdot \bar{y}_{15} \\ \bar{c}_1 \cdot \bar{y}_0 & \bar{c}_2 \cdot \bar{y}_1 & \cdots & \bar{c}_0 \cdot \bar{y}_{15} \\ \cdots & \cdots & \cdots & \cdots \\ \bar{c}_{15} \cdot \bar{y}_0 & \bar{c}_0 \cdot \bar{y}_1 & \cdots & \bar{c}_{14} \cdot \bar{y}_{15} \end{bmatrix} \quad (3)$$

where the  $\bar{c}_i$  are the SSCs of the SSC sequence. The matrix (3) is then multiplied by the following matrix:

$$M_2 = \begin{bmatrix} m_0^{(0)} & m_0^{(1)} & \dots & m_0^{(15)} \\ m_1^{(0)} & m_1^{(1)} & \dots & m_1^{(15)} \\ \dots & \dots & \dots & \dots \\ m_{15}^{(0)} & m_{15}^{(1)} & \dots & m_{15}^{(15)} \end{bmatrix} \quad (4)$$

where the columns represent all of the 16 possible modulating sequences (again assuming real values for simplicity). The matrix multiplication,  $Z_2 M_2$ , again yields a 16x16 matrix, where one of the elements would have had a larger magnitude than the rest. The row index of this element produces the FTI, and the column index produces the LCI.

The operations for the above-described methods of the invention can be extended to include more general cases. For example, if more modulating sequences are desired, the matrix  $M_1(M_2)$  can be expanded with new columns containing all shifts of all allowed m-sequences. If more SSC sequences are desired in implementing the second method above, the matrix,

$Z_2$ , can be expanded by adding rows of shifted correlations with all allowed SSC sequences. If there are more long codes per group, then the matrix,  $Z_1$ , described above for the first method can be expanded by adding more rows of correlations.

5 As such, with a limited set of correlators in use, the correlations can be performed in subsequent frames, and still be coherently accumulated. This observation is valid for both of the above-described cell search methods of the invention.

10 The following description compares the two cell search methods of the invention with the proposed ARIB Wideband CDMA cell search scheme. In order to make that comparison, assume that the system parameters shown in the table in FIGURE 22 apply for each of the following cases. FIGURES 23A-D are  
15 tables that illustrate the advantages of the two cell search methods of the present invention over the proposed ARIB Wideband CDMA cell search method. For example, the table in FIGURE 23A shows the number of 256-chip correlations needed, and the time required to achieve downlink synchronization for  
20 the three cell search schemes, for the case where there is



no long code grouping involved. The table in FIGURE 23B shows the same information for the case where there are four long code groups of 32 codes each involved. The table in FIGURE 23C shows the same information for the case where  
5 there are 16 long code groups of 16 codes each involved. The table in FIGURE 23D shows the same information for the case where there are 32 long code groups of 4 codes each involved.

As such, the first step (the matched filtering or MF stage) is the same for all of the three methods.  
10 Consequently, this step is omitted from the tables in FIGURES 23A-D for simplicity sake. For some of the correlations, a maximum and average value is given. The reason for that is when blind searches are performed for the LC or the FT, the correlation process can be terminated before all possible  
15 combinations have been searched, when a sufficiently good match has been obtained. When performing blind searches, (e.g., among N different codes), on average N/2 codes have to be tested. However, for the worst case, all of the N codes may have to be tested. As such, the matrix  
20 multiplications,  $Z_I M_I$ , can be assumed to be performed

instantly, and their complexity is thus not considered in the  
tables above. In summary, as illustrated by FIGURES 23A-D,  
the two exemplary cell search methods described above and  
performed in accordance with the present invention,  
5 facilitate a faster, less complex cell search process in the  
mobile station involved, both at initial synchronization and  
during handover measurement reporting situations. Also, as  
the tables in FIGURES 23A-D show, both the delay and  
complexity of the cell search methods of the present  
10 invention are lower than those for the ARIB proposed cell  
search method. In particular, the third stage (step 3) of  
the mobile station's cell search procedure implemented with  
the two methods of the invention, is up to 16 times faster  
and less complex than with the proposed ARIB method.

15 Although a preferred embodiment of the method and  
apparatus of the present invention has been illustrated in  
the accompanying Drawings and described in the foregoing  
Detailed Description, it will be understood that the  
invention is not limited to the embodiment disclosed, but is  
20 capable of numerous rearrangements, modifications and

substitutions without departing from the spirit of the invention as set forth and defined by the following claims.